

04/20/01

J104011 S. PRO

UTILITY PATENT APPLICATION TRANSMITTAL LETTER

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
NEC-F98/USAJ104011 S. PRO
09/838185

04/20/01

To the Assistant Commissioner for Patents:

Transmitted herewith for filing is the patent application of:

Ikuro SAKAGUCHI

corresponding to Japanese application 124731/2000, filed April 25, 2000, entitled: CARD UTILIZATION APPROVAL METHOD, CARD SETTLEMENT SYSTEM AND CARD AUTHENTICATION AND SETTLEMENT PROCESSING DEVICE

Enclosed are:

<input checked="" type="checkbox"/>	9 pages of specification.
<input checked="" type="checkbox"/>	3 sheets of formal drawings.
<input checked="" type="checkbox"/>	a newly-executed declaration of the inventor.
	a copy of an executed declaration of the inventor from prior application Serial No. , filed .
	incorporation by reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied as indicated in the preceding box, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
<input checked="" type="checkbox"/>	an assignment of the invention to NEC CORPORATION, including assignment cover sheet.
	Information Disclosure Statement with Form PTO-1449.
	copies of the Information Disclosure Statement citations.
	preliminary amendment.
<input checked="" type="checkbox"/>	return receipt postcard (MPEP 503), specifically itemized.
	applicant claims small entity status under 37 CFR 1.27.
<input checked="" type="checkbox"/>	a certified copy of the Japanese Priority Document.
<input checked="" type="checkbox"/>	other: Application Data Sheet.

If a CONTINUING APPLICATION, check appropriate box and supply the requisite information.

 Continuation Divisional Continuation-in-part (CIP)

of prior application No. , filed .

<input checked="" type="checkbox"/>	Customer No. 000466.
<input checked="" type="checkbox"/>	Correspondence address is: YOUNG & THOMPSON, 745 South 23rd Street, Second Floor, Arlington, Virginia 22202.
<input checked="" type="checkbox"/>	Telephone: (703) 521-2297. Telefax: (703) 685-0573 or (703) 979-4709.

UTILITY PATENT APPLICATION TRANSMITTAL LETTER (continued)				Docket No. NEC-F98/USA																														
CLAIMS AS FILED																																		
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 25%;"></th> <th style="width: 25%; text-align: center;">NO. FILED</th> <th style="width: 25%; text-align: center;">NO. EXTRA</th> <th style="width: 25%; text-align: center;">RATE</th> <th style="width: 25%; text-align: center;">FEE</th> </tr> </thead> <tbody> <tr> <td>BASIC FEE</td> <td colspan="3" style="background-color: #cccccc;"></td> <td style="text-align: center;">\$ 710</td> </tr> <tr> <td>TOTAL CLAIMS</td> <td style="text-align: center;">7 - 20 =</td> <td style="text-align: center;">0</td> <td style="text-align: center;">X\$ 18</td> <td style="text-align: center;">0</td> </tr> <tr> <td>INDEPENDENT CLAIMS</td> <td style="text-align: center;">3 - 3 =</td> <td style="text-align: center;">0</td> <td style="text-align: center;">X\$ 80</td> <td style="text-align: center;">0</td> </tr> <tr> <td>MULTIPLE DEPENDENT CLAIM PRESENT</td> <td colspan="3" style="background-color: #cccccc;"></td> <td style="text-align: center;">\$ 270</td> </tr> <tr> <td></td> <td colspan="3" style="text-align: right; padding-right: 10px;">TOTAL</td> <td style="text-align: center;">\$ 710</td> </tr> </tbody> </table>						NO. FILED	NO. EXTRA	RATE	FEE	BASIC FEE				\$ 710	TOTAL CLAIMS	7 - 20 =	0	X\$ 18	0	INDEPENDENT CLAIMS	3 - 3 =	0	X\$ 80	0	MULTIPLE DEPENDENT CLAIM PRESENT				\$ 270		TOTAL			\$ 710
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<div style="border: 1px solid black; padding: 5px; width: fit-content; margin: auto;"> If applicant has small entity status under 37 CFR 1.9 and 1.27, then divide total fee by 2, and enter amount here. </div>																																		
SMALL ENTITY TOTAL \$ _____																																		
<p> <input checked="" type="checkbox"/> A check in the amount of \$750 to cover the filing fee is enclosed. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to Deposit Account No. 25-0120 in the name of Young & Thompson, as described below. A duplicate copy of this sheet is enclosed. <input type="checkbox"/> Charge the amount of \$ as filing fee. <input checked="" type="checkbox"/> Credit any overpayment. <input checked="" type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 and 1.17, during the pendency of this application. <input type="checkbox"/> Charge the issue fee set in 37 CFR 1.18 at the mailing of the Notice of Allowance. </p>																																		
 Robert J. Patch Registration No. 17,355 745 South 23rd Street Arlington, VA 22202 Telephone 703/521-2297																																		
April 20, 2001																																		